## VERMONT DEPARTMENT OF HEALTH BOARD OF MEDICAL PRACTICE P.O. Box 70, Burlington, VT 05402

#### ANESTHESIOLOGIST ASSISTANT INITIAL CERTIFICATION CHECKLIST

- Answer all questions completely. It is not adequate to state that the Board already has the information. Use Form A to provide explanations to "yes" answers in Part II.
- When space provided is insufficient, attach additional sheets.
- All documents must be received with six (6) months of the application date or the application becomes stale and new documents must be submitted.
- Make a copy of this form and all attachments for your own records.
- Carefully complete the application. False statements are grounds for findings of unprofessional conduct.

| Thank you for your cooperation.  |
|--|
| FEE: \$100 for initial certification (\$50 for each additional certification). Please enclose a check in the proper amount made payable to the Vermont Board of Medical Practice.  |
| Completed Application for Certification as an Anesthesiologist Assistant in Vermont  |
| Certified Copy of Birth Certificate  |
| Copy of your employment contract (Signed by Employer and AA Applicant)   |
| Application by Proposed Primary Supervising Anesthesiologist   |
| Application(s) by Proposed Secondary Supervising Anesthesiologist (s)  |
| Verification of licensure or certification in other states must be completed and mailed to the Board by the licensing or certification authority of each state where you have held a license or certification.   |
| <ul> <li>Education</li> <li>Master's degree direct verification: Certificate of Anesthesiologist Assistant Education from Emory University or Case Western University that applicant has completed the requirements of the anesthesiologist assistant program and has been awarded an AA masters degree.</li> <li>Proof from the National Commission on the Certification of Anesthesiologist Assistants of satisfactorily completing the NCCAA certification examination. This may be obtained by writing to the NCCAA, PO Box 15519, Atlanta, GA 30333-0519: or by faxing them at 404-687-9978.</li> </ul> |
| Protocol signed by AA and Proposed Primary Supervising Anesthesiologist: A detailed description of the duties and scope of practice to be delegated by the anesthesiologist to the anesthesiologist assistant.   |
| Two (2) completed reference forms mailed directly to the Board by the physician:   |

□ Completed Form A, if you answered "yes" to any question in Part II

□ Child Support/Tax/Unemployment Form.

Physician Reference Form Number 1Physician Reference Form Number 2

□ Personal interview required: As soon as your application is complete and the review process is finished, you will be provided with the name, address and telephone number of the Medical Board member you are to contact for a personal interview. (The primary supervising physician also is interviewed by phone if he or she has never supervised an AA in Vermont.)

# VERMONT DEPARTMENT OF HEALTH BOARD OF MEDICAL PRACTICE

P.O. Box 70, Burlington, VT 05402

## ANESTHESIOLOGIST ASSISTANT INITIAL CERTIFICATION APPLICATION

FEE: \$100 for initial certification (\$50 for each additional certification). Please enclose a check in the proper amount made payable to the Vermont Board of Medical Practice.

#### Important: Please print legibly or type.

- Answer all questions completely. It is not adequate to state that the Board already has the information. Use Form A to provide explanations to "yes" answers in Part II.
- When space provided is insufficient, attach additional sheets.
- All documents must be received with six (6) months of the application date or the application becomes stale and new documents must be submitted.
- Make a copy of this form and all attachments for your own records.
- Carefully complete the application. False statements are grounds for findings of unprofessional conduct.
- Thank you for your cooperation.

|   |                        | Part I                |   |
|---|------------------------|-----------------------|---|
| 1. Name:  |                        |                       |   |
| (Last)  |                        | (First)               | (Middle)  |
| 2. Home Address:                                      |                        |                       |   |
|   | (Street)               |                       |   |
| (City)  | (State)                | (2                    | Zip)  |
| 3. Work Address:                                      |                        |                       |   |
|   | (Street)               |                       |   |
| (City)  | (State)                | (2                    | Zip)  |
| 4. Please check your preferred r                      | mailing address:       | Home Work             | ζ   |
| NOTE: The mailing address w                           | vill be listed on the  | Board's web site.     |   |
| 5. Have you ever legally change the name was changed. | ed your name?          | Yes No If yes, en     | close a certified copy of the document by which |
| 6. Your name, as it should appe                       | ar on your certificate | e:                    |   |
| 7. Have you ever been licensed following.             | or certified elsewhe   | re under another name | e? yes no If yes, please complete the           |
| (Name)  | (Place)                |                       | License or Certificate)                         |

Vermont Department of Health, Board of Medical Practice Anesthesiologist Assistant Initial Certification Application Page 1 of 11

| 8. Home Telephone Number: ()                                |  |   |
|---|--|---|
| 9. Work Telephone Number: ()                                |  |   |
| 10. E-mail address:   |  |   |
| 11. Date of Birth: Month:                                   | Day Year                                       |   |
| 12. Place of Birth: Attach a certified copy of your birth c | ertificate.                                    |   |
| 13. Social Security Number:                                 |  | *************************************** |
| 14. Certification Examination Taken – (C                    | check the appropriate box and enter the date o | of examination):                        |
| ( <u>//</u> ) State E                                       | xamination: Identity state:                    |   |
|   | xamination: Specify:                           | <del>-</del>                            |
|   |  |   |
|   | Post-Secondary Education                       |   |
| 15. List schools attended:                                  |  |   |
| (Name and Location of Institution)                          | (From Month/Year to Month/Year)                | (Degree)                                |
| (Name and Location of Institution)                          | (From Month/Year to Month/Year)                | (Degree)                                |
| (Name and Location of Institution)                          | (From Month/Year to Month/Year)                | (Degree)                                |
| P   | roposed Supervising Anesthesiologist(s)        |   |
| 16. List name of Proposed Primary Supe                      | ervising Anesthesiologist:                     |   |
|   |  |   |
|   |  | , , , , , , , , , , , , , , , , , , ,   |
|   |  |   |

| 17. Lis       | st names of Pro                       | posed Secondary                           | y Supervising Ane                       | esthesiologist(s  | ):                      |                          |              |
|---------------|---------------------------------------|---|---|-------------------|-------------------------|--------------------------|--------------|
|               |                                       |   |   |                   |                         |                          |              |
|               |                                       |   |   | V ( ) ( ) ( )     |                         |                          |              |
|               |                                       |   | Other Licer                             | ises and Certi    | fications               |                          |              |
|               | o you hold, or ha                     |   | d, a license or cer                     | tification as a n | nedical practitio       | ner in Vermont or any    | other state? |
|               |                                       |   | our application is i                    |                   | ntil we receive a       | a Verification of Licens | ure or       |
| State         | Certificate/Lic                       | cense Number                              | Type of Licens                          | e/Certification   | Date Issued             | Status (Active or        | nactive)     |
|               |                                       |   |   |                   |                         |                          |              |
|               |                                       |   |   |                   |                         |                          |              |
|               |                                       | te of a program a                         |   | Committee on .    | Allied Health Ed        | ducation and Accredita   | tion (CAHEA) |
|               |                                       | tional Commissio                          |   | ation of Anesth   | esiologist Assis        | tants (NCCAA) Certific   | ate?         |
|               | NCCAA Cert                            | ificate Number: _                         |   |                   | Expiration              | date:                    |              |
| 21. W         | Vhen are you sc                       | heduled to begin                          | work in Vermont                         | ?:                |                         |                          |              |
|               |                                       |   |   | Training          |                         |                          |              |
| 22. L<br>(mon | ist chronologica<br>th, day, year) ar | lly all formal med<br>nd type of training | ical training progr<br>j. Include COPIE | rams. Give pro    | gram names, a<br>CATES. | ddresses, exact dates    |              |
| Progr         | ram Name                              | Address                                   |   | From              | n/To                    | Training                 |              |
|               |                                       |   |   |                   |                         |                          |              |
|               |                                       |   |   |                   |                         |                          |              |
|               |                                       |   |   |                   |                         |                          |              |

| 23. Lis          | any other significant training:  |
|------------------|--|
| 24. Do           | you have now or have you previously had hospital privileges? Yes No  |
| 25. Lis          | st all hospitals where you have, or previously have had, privileges:   |
| NAME             | ADDRESS FROM/TO  |
|                  |  |
| 26. W            | hat has been your physical residence(s) (city/state) in the past ten years?  |
|                  | Part II  |
| <b>Any "</b> 27. | yes" response to the questions below must be fully explained on the enclosed Form A.  Have you ever applied for and been denied a license or certificate to practice medicine or any other healing art? YesNo  |
| 28.              | Have you ever withdrawn an application for a license or certificate to practice medicine or any other healing art?  YesNo  |
| 29.              | Have you ever voluntarily surrendered or resigned a license or certificate to practice medicine or any other healing art in lieu of disciplinary action? YesNo   |
| 30.              | Are any formal disciplinary charges pending or has any disciplinary action ever been taken against you by any governmental authority, by any hospital or health care facility, or by any professional medical association (international, national, state or local)? |
|                  | YesNo  |
| 31.              | Have you ever been denied the privilege of taking an examination before any state medical examining board? YesNo   |

| 32.      | Have you ever discontinued your education, training, or practice for a period of more than three months, for reasons other than a family situation?  |
|----------|--|
|          | YesNo  |
| 33.      | Have you ever been dismissed or suspended from, or asked to leave a training program before completion?  YesNo   |
| 34.      | Have you ever had staff privileges, employment or appointment in a hospital or other health care institution denied reduced, suspended or revoked, or resigned from a medical staff after a complaint or peer review action was initiated against you? |
|          | YesNo  |
| 35.      | Has your privilege to possess, dispense or prescribe controlled substances ever been suspended, revoked, denied, or restricted by, or surrendered to any jurisdiction or federal agency at any time?   |
|          | YesNo  |
| 36.      | Are you presently a defendant in a criminal proceeding?  |
|          | YesNo  |
| Confi    | idential Section (The following section is exempt from public disclosure)  |
| Any '    | 'yes" response to the questions below must be fully explained on the enclosed Form A.  |
| 37.<br>a | To your knowledge, are you the subject of an investigation by any other licensing or certification board s of the date of this application?  |
|          | YesNo  |
| 38.      | To your knowledge, are you presently the subject of criminal investigation?  |
|          | YesNo  |
| MED      | ICAL QUESTIONS   |
|          | se answer " <b>Yes</b> " or <b>"No</b> " to the questions below. Definitions are provided to assist you in answering. Please explain <b>Yes</b> " answers on Form A.   |
|          | DEFINITIONS  |
|          | In answering the following questions, please use these definitions:  |

"Ability to practice medicine" - This term includes:

- 1. The cognitive capacity to make and exercise reasoned medical judgments, and to learn and keep abreast of medical developments; and
- 2. The ability to communicate those judgments and medical information to patients and other health care providers, with or without the use of aids or devices, such as voice amplifiers; and

The physical capability to perform medical tasks and procedures, with or without the use of aids or devices, such as corrective lenses or hearing aids.
 "Medical condition" - Includes physiological, mental or psychological conditions or disorders, such as, but not

"Medical condition" - Includes physiological, mental or psychological conditions or disorders, such as, but not limited to, orthopedic, visual, speech, and hearing impairments, cerebral palsy, epilepsy, muscular dystrophy, multiple sclerosis, cancer, heart disease, diabetes, mental retardation, emotional or mental illness, specific learning disabilities, hepatitis, HIV disease, tuberculosis, drug addiction, and alcoholism.

"Currently" - This term means recently enough to have a real or perceived impact on one's functioning as an Anesthesiologist Assistant licensee.

"Chemical substances" - This term is to be construed to include alcohol, drugs, or medications, including those taken pursuant to a valid prescription for legitimate medical purposes and in accordance with the prescriber's direction, as well as those used illegally.

"Controlled substances" - This term means those drugs listed on Schedules I through V of Section 202 of the Controlled Substances Act (21 USC § 812).

"Illegal use of controlled substances" - This term means the use of drugs, the possession or distribution of which is unlawful under the Controlled Substances Act, as periodically updated by the Food and Drug Administration. This term does not include the use of a drug taken under the supervision of a licensed health care professional or other uses authorized by the Controlled Substances Act or other provisions of federal law.

| 39. | Do you have a medical condition that in any way impairs or limits your ability to practice medicine in your field of practice with reasonable skill and safety?   |
|-----|---|
|     | YesNo   |
|     | In explaining a "Yes" answer on Form A, please provide reasonable assurances that your medical condition is reduced or ameliorated because, for example, you have received or do receive ongoing treatment (with or without medication) or have participated or do participate in a monitoring program. |
| 40. | Are you currently engaged in the use of alcohol or other chemical substances that in any way impairs your ability to practice medicine in your field of practice with reasonable skill and safety?  |
|     | YesNo   |
|     | In explaining a "Yes" answer on Form A, please provide reasonable assurances that your use is reduced or ameliorated because, for example, you have received or do receive ongoing treatment (with or without medication) or have participated or do participate in a monitoring program.               |
| 41. | Are you currently engaged in the illegal use of controlled substances?  |
|     | YesNo   |
|     | In explaining a "Yes" answer on Form A, please provide reasonable assurances that such use is not a real and ongoing problem in your practice of medicine.  |
|     |   |

**IMPORTANT** 

Since 1999, part of each physician license fee has been used to create and maintain the **Vermont Practitioners Health Program**, a **confidential** program for the identification, treatment and rehabilitation of practitioners affected by the disease of substance abuse. If you wish further information about this program, a service of the Vermont Medical Society, call 802-223-0400 (a confidential line).

#### Part III - Statutory Profile Questions

Vermont law, 26 VSA § 1368, creates a data repository within the Department of Health. Under this law, the Department must collect certain information to create individual profiles on all health care professionals licensed, certified, or registered by the Department pursuant to Title 26 of the VSA. Please try to answer the following questions as best you can. You will receive a copy of your profile prior to its initial release to the public and each time the profile is modified or amended. You will be given a reasonable time to correct factual inaccuracies that appear in such profile. As noted below, certain questions do not need to be answered.

It is very important for us to receive copies of court papers, licensing and certification authority decisions, and other documents relevant to the questions below in order to have a true and accurate description of the actions taken.

| 42. | <b>Criminal Convictions</b> | [See 26 VSA § 1368(a)(1)] |
|-----|-----------------------------|---------------------------|
| 74. | Offillian Controllong       | 1000 20 40/13 1000(8/11/1 |

Please provide a description of all crimes (felonies and misdemeanors; this includes DUI but not speeding or parking tickets) of which you have been convicted. For purposes of this question, "convicted" means that you pleaded guilty or that you were found or adjudged guilty by a court of competent jurisdiction. **Please provide copies of papers fully documenting the convictions.** 

| (Conviction Date) | (Court) | (City/State) | (Crime  |  |
|-------------------|---------|--------------|---------|--|
| (Conviction Date) | (Court) | (City/State) | (Crime) |  |
| (Conviction Date) | (Court) | (City/State) | (Crime) |  |

## 43. Nolo Contendere/Matters Continued [See 26 VSA § 1368(a)(2)]

Please provide a description of all charges to which you pleaded "nolo contendere" ("I will not contest it") or where sufficient facts of guilt were found and the matter was continued without a finding by a court of competent jurisdiction. Please provide copies of papers fully documenting these matters.

| (Conviction Date) | (Court) | (City/State) | (Charge) |
|-------------------|---------|--------------|----------|
| (Conviction Date) | (Court) | (City/State) | (Charge) |
| (Conviction Date) | (Court) | (City/State) | (Charge) |

## 44. Vermont Board of Medical Practice Matters [See 26 VSA § 1368(a)(3)]

Please provide a description of all formal charges served, findings, conclusions, and orders of the Board of Medical Practice (including stipulations), and final disposition of such matters by the courts, if appealed.

| (Date) | (Final Disposition - Summary) |
|--------|-------------------------------|

| appe  | aled, in those states.                                      | Please provi                                       | de copies o                                | f papers fully                                       | documei                    | nting these                 | matters.  |
|-------|---|--|--|--|----------------------------|-----------------------------|---|
| (Date | of Final Disposition  | ) (Licensing                                       | or Certifica                               | tion Authority)                                      | (Court)                    | (City/State                 | ) (Nature of Charge)  |
| (Date | of Final Disposition  | ) (Licensing                                       | or Certifica                               | tion Authority)                                      | (Court)                    | (City/State                 | ) (Nature of Charge)  |
| Rest  | riction of Hospital I                                       | Privileges [Se                                     | e 26 VSA §                                 | 1368(a)(5)]  |                            |                             |   |
| A.    | Revocation/Involuntary Restrictions                         |  |  |  |                            |                             |   |
|       | were related to co<br>official of the hos<br>provide copies | ompetence or opital after proce<br>of papers fully | character and<br>edural due p<br>documenti | d were issued l<br>rocess (opporti<br>ing these matt | by the hose<br>unity for h | pital's gove<br>earing) was | ospital privileges that rning body or any other afforded to you. Plea |
|       | (Date)  | (Hospital)   | (State)                                    | (Nature of Re  | estriction)                | (Reason                     | for Restriction)  |
|       | (Date)  | (Hospital)   | (State)                                    | (Nature of R   | estriction)                | (Reason                     | for Restriction)  |
| В.    | Other Restriction   | ns   |  |  |                            |                             |   |
|       | restriction of privi  | ileges at a hosp                                   | oital taken in                             | lieu of, or in se                                    | ettlement                  | of, a pendin                | staff membership or<br>g disciplinary case re<br>fully documenting t  |
|       |   |  |  | (Hospital)   | )                          |                             | (State)   |
|       | (Date)  |  |  | (1 lospital)   |                            |                             |   |
|       | (Nature of Action   | 1)   |  | (Action)   |                            |                             |   |
|       |   |  |  | (Action)   | ] In lieu                  |                             | ☐ In settlement   |

|                   | Please provi  | ide copies of pa  |  | locumenting these     | t was awarded to a complaining p<br>matters.                      |
|-------------------|---|---|--|-----------------------|---|
|                   | □ Judgemen  | t 🗆 Arbitration   |  |                       |   |
|                   | (Date)  | (Court)   | (State)  | (Nature of Case)      | (Amount Assessed Against You                                      |
|                   | □ Judgemen  | nt   Arbitration  |  |                       |   |
|                   | (Date)  | (Court)   | (State)  | (Nature of Case)      | (Amount Assessed Against You                                      |
| В.                | Settlements   |   |  |                       |   |
|                   |   | s awarded to a co   |  |                       | practice claims against you in while copies of papers fully docum |
|                   | (Date)  | (Court)   | (State)  | (Am                   | ount of Settlement Against You)                                   |
|                   |   |   |  |                       |   |
|                   | (Date)  | (Court)   | (State)  | (Am                   | ount of Settlement Against You)                                   |
| What<br>Hos       | s of Practice [S<br>month and yea   | See 26 VSA § 136  | 58(a)(10)]<br>acticing as a                      | an Anesthesiologist A | · ,   |
| What<br>Hos       | s of Practice [Something is north and year spital Privileges when the spitals where | See 26 VSA § 136 or did you start pra                                     | 68(a)(10)] acticing as a 1368(a)(11 have hospita | an Anesthesiologist A | Assistant?  |
| What  Hos  List a | s of Practice (Something spital Privileges when the month and year spital Privileges when the me)   | See 26 VSA § 136 or did you start pra s [See 26 VSA § ore you currently h | 58(a)(10)] acticing as a 1368(a)(11 ave hospita  | an Anesthesiologist A | · ,   |

| A.                      | <u>Appointments</u>  |  |   |   |  |  |  |
|-------------------------|--|--|---|---|--|--|--|
|                         | Please provide information about your appointments to medical school or professional school facult |  |   |   |  |  |  |
|                         | (School)   | (City)   | (State)                                       | (Nature of Appointment)                         | From (year) To                                 |  |  |
|                         | (School)   | (City)   | (State)                                       | (Nature of Appointment)                         | From (year) To                                 |  |  |
| В.                      | <u>Teaching</u>  |  |   |   |  |  |  |
|                         | Please provide informa the past 10 years.  | ation regardin   | g your respon:                                | sibility for teaching graduat                   | e medical education v                          |  |  |
|                         |  |  |   |   |  |  |  |
| Note:<br>web.           | -  | al. By answeri   |   | (Nature of Teaching)  anting permission to have |  |  |  |
| Note:<br>web.<br>Pleas  | cations [See 26 VSA § 1  Answering #51 is optional  e provide information reg                      | I368(a)(13)]<br>al. By answeri<br>arding your p        | ng, you are gr<br>ublications in              |   | this information posterature within the past 1 |  |  |
| Note:<br>web.           | cations [See 26 VSA § 1  Answering #51 is optional  e provide information reg                      | I368(a)(13)]<br>al. By answeri<br>arding your p        | ng, you are gr                                | anting permission to have                       | this information poste                         |  |  |
| Note:<br>web.<br>Pleas  | cations [See 26 VSA § 1 Answering #51 is optional e provide information reg                        | I368(a)(13)]<br>al. By answeri<br>arding your p<br>(Pu | ng, you are gr<br>ublications in              | anting permission to have                       | this information posterature within the past 1 |  |  |
| Note: web. Pleas (Title | cations [See 26 VSA § 1 Answering #51 is optional e provide information reg                        | I368(a)(13)] al. By answeri arding your p              | ng, you are gr<br>ublications in publication) | anting permission to have                       | this information posterature within the past 1 |  |  |

50.

Appointments/Teaching [See 26 VSA § 1368(a)(12)]

| Part IV – Photograph and Signature  |     |
|---|-----|
| PROVIDE A PHOTOGRAPH: Attach a photograph below, taken within the last 60 days (head and shoulders). Proofs a not acceptable. Sign the front of the photograph. <b>Please do not use staples.</b> | are |
|   |     |
|   |     |
|   |     |
|   |     |
|   |     |
|   |     |
|   |     |
|   |     |
|   |     |
| Reminder - You must also complete the enclosed Applicant's Statement Regarding Child Support, Taxes, Unemployment Compensation Contributions.   |     |
| I hereby aver that the information provided above is true and accurate, and that I have answered the questions to the b of my knowledge and ability.  | est |

Applicant's Signature

Date:

# Vermont Department of Health - Board of Medical Practice Form A

## PLEASE PROVIDE EXPLANATIONS TO "YES" ANSWERS ON THIS FORM

Withdrawal or denial of license or certificate (Questions 27 and 28) - Attach documents

| State   | Year   |  |  |  |
|---|--|--|--|--|
| rear  |  |  |  |  |
| Voluntarily surrendered or resigned a license or<br>(Question 29) - Attach documents  | r certificate to practice medicine or any healing ar   |  |  |  |
| State   | Year   |  |  |  |
| Circumstances   |  |  |  |  |
| Disciplinary charges or action (Question 30) - A  | ttach documents  |  |  |  |
| Name of organization involved   | Date   |  |  |  |
| Duration  | ي د دور در دور دور دور دور دور دور دور دو  |  |  |  |
| Action taken (circle all that apply)  |  |  |  |  |
| 01 Revocation of right or privilege 02 Suspension of right or privilege 03 Censure 04 Written reprimand or admonition 05 Restriction of right or privilege 06 Non-renewal of right or privilege 07 Fine 08 Required performance of public service 09 Education/Training/Counseling/Monitoring 10 Denial of rights or privilege 11 Resignation | 12 Leave of absence 13 Withdrawal of an application 14 Termination or non-renewal of contract 15 Medical Records Suspension 16 Probation 17 Assurance of Discontinuance 18 Consent Agreement 19 Letter of Agreement 20 Expulsion from Membership 21 Reprimand 22 Other (specify) |  |  |  |
| Circumstances   |  |  |  |  |
|   |  |  |  |  |
| Denial of examination privileges (Question 31)  | - Attach documents   |  |  |  |
| State   | Year   |  |  |  |
| Circumstances under which examination privileges  | s denied   |  |  |  |
|   |  |  |  |  |

## Vermont Department of Health - Board of Medical Practice Form A

| Training program(s) not completed - discontinued<br>33) - Attach documents | education, training, practice (Questions 32 |
|--|---|
| Training program(s)  |   |
| Location of programs   | Year  |
| Circumstances  |   |
|  |   |
| Affecting health care institution staff privileges, en<br>Attach documents | nployment or appointment (Question 34) -    |
| Institution involved   |   |
| Location   | Year  |
| Circumstances  |   |
| Privilege to prescribe controlled substances (Que                          | stion 35) - Attach documents                |
| Name of organization involved  |   |
| Type of restriction  | Date  |
| Circumstances of restriction   |   |
|  |   |
|  |   |
|  |   |
| Criminal investigation - proceeding (Questions 36                          |   |
| Court  |   |
| City and state   |   |
| Charge   |   |
| Description  |   |
|  |   |
|  |   |
| Status   |   |

## Vermont Department of Health - Board of Medical Practice Form A

| Conviction? Yes No                               | Date   |
|--|--|
| Plea? Yes No                                     | Date   |
| Investigation by other licensing or ce documents | ertification board - proceeding (Question 37) - Attach |
| Date   |  |
| Licensing or certification board                 |  |
| State  |  |
|  |  |
|  |  |
|  |  |
| Status   |  |
| Medical condition, treatment, use of             | chemical or illegal substances (Questions 39-41)       |
| Treating organization                            |  |
| Address  | Telephone  |
| Type of diagnosis, condition or treatmer         | nt - field of practice - use of chemical substances    |
|  |  |
| Dates of illness of dependency                   | to   |
| Dates of treatment                               | to   |
| Name of rehabilitation/professional assi         | istance or monitoring program                          |
|  | Telephone  |
| Contact person at Program                        |  |

#### Vermont Department of Health - Board of Medical Practice

## APPLICANT'S STATEMENT REGARDING CHILD SUPPORT, TAXES, UNEMPLOYMENT COMPENSATION CONTRIBUTIONS

You must answer questions 1, 2, and 3.

#### **Regarding Child Support**

Title 15 § 795 requires that: A professional license or other authority to conduct a trade or business may not be issued or renewed unless the person certifies that he or she is in good standing with respect to or in full compliance with a plan to pay any and all child support payable under a support order as of the date the application is filed. "Good standing" means that less than one-twelfth of the annual support obligation is overdue; or liability for any support payable is being contested in a judicial or quasi-judicial proceeding; or he or she is in compliance with a repayment plan approved by the office of child support or agreed to by the parties; or the licensing authority determines that immediate payment of support would impose an unreasonable hardship. (15 V.S.A. § 795)

| repayment   | plan approved by the office of child support or agreed to by the parties; or the licensing authority determines that immediate payment would impose an unreasonable hardship. (15 V.S.A. § 795)   |
|---|---|
|   | ou must check one of the two statements below regarding child support regardless whether or not you have children:  I hereby certify that, as of the date of this application: (a) I am not subject to any support order or (b) I am subject to a support order and I am in full compliance with a plan to pay any and all child support due under that order.  or  |
| C   | I hereby certify that I am <u>NOT</u> in good standing with respect to child support dues as of the date of this application and I hereby request that the licensing authority determine that immediate payment of child support would impose an unreasonable hardship. Please forward an "Application for Hardship".   |
| person ce<br>on appeal  | Regarding Taxes  113 requires that: A professional license or other authority to conduct a trade or business may not be issued or renewed unless the tifies that he or she is in good standing with the Department of Taxes."Good standing" means that no taxes are due, the tax liability is the taxpayer is in compliance with a payment plan approved by the Commissioner of Taxes, or the licensing authority determines that payment of taxes would impose an unreasonable hardship. (32 V.S.A. § 3113)  |
| 2.  | You must check one of the two statements below regarding taxes: I hereby certify, under the pains and penalties or perjury, that I am in good standing with respect to or in full compliance with a plan to pay any and all taxes due to the State of Vermont as of the date of this application. (The maximum penalty for perjury is fifteen years in prison, a \$10,000.00 fine or both).   |
| C   | I hereby certify that I am <u>NOT</u> in good standing with respect to taxes due to the State of Vermont as of the date of this application and I hereby request that the licensing authority determine that immediate payment of taxes would impose an unreasonable hardship. Please forward an "Application for Hardship".  Regarding Unemployment Compensation Contributions   |
| (including<br>space with<br>employing<br>contributional<br>all contributions<br>the liabilit<br>payment p | 378 requires that: No agency of the state shall grant, issue or renew any license or other authority to conduct a trade or business a license to practice a profession) to, or enter into, extend or renew any contract for the provision of goods, services, or real estate any employing unit unless such employing unit shall first sign a written declaration, under the pains and penalties of perjury, that the unit is in good standing with respect to or in full compliance with a plan to pay any and all contributions or payments in lieu of ons due as of the date such declaration is made. For the purposes of this section, a person is in good standing with respect to any and utions or payments in lieu of contributions payable if: (1) no contributions or payments in lieu of contributions are due and payable; (2) of for any contributions or payments in lieu of contributions due and payable is on appeal; (3) the employing unit is in compliance with a lan approved by the Commissioner; or (4) in the case of a licensee, the agency finds that requiring immediate payment of contributions its in lieu of contributions due and payable would impose an unreasonable hardship. |
| 3. contributi   | ou must check one of the three statements below regarding unemployment contributions or payments in lieu of unemployment ons:   |
| (   | I hereby certify, under the pains and penalties of perjury, that I am in good standing with respect to or in full compliance with a payment plan approved by the Commissioner of Employment and Training to pay any and all unemployment contributions or payments in lieu of unemployment contributions to the Vermont Department of Employment and Training due as of the date of this application. (The maximum penalty for perjury is 15 years in prison, a \$10, 000.00 fine or both.)   |
| !   | I hereby certify that I am <u>NOT</u> in good standing with respect to unemployment contributions or payments in lieu of unemployment contributions due to the Vermont Department of Employment and Training as of the date of this application and I hereby request that the licensing authority determine that requiring immediate payment of unemployment contributions or payments in lieu of unemployment contributions would impose an unreasonable hardship. Please forward an Application for Hardship.   |
| I   | I hereby certify that 21 V.S.A. § 1378 is not applicable to me because I am not now, nor have I ever been, an employer.   |
| Social Sec  | curity #*/ Date of Birth//  |
| by the De   | losure of your social security number is mandatory, it is solicited by the authority granted by 42 U.S.C. § 405 (c)(2)(C), and will be used partment of Taxes and the Department of Employment and Training in the administration of Vermont tax laws, to identify individuals y such laws, and by the Office of Child Support.   |
|   | STATEMENT OF APPLICANT  |
|   | at the information stated by me in this application is true and accurate to the best of my knowledge and that I understand providing mation or omission of information is unlawful and may jeopardize my license/certification/registration status.   |

Date

Signature of Applicant\_

TO WHOM IT MAY CONCERN:

## STATE OF VERMONT – BOARD OF MEDICAL PRACTICE 108 CHERRY STREET BURLINGTON, VERMONT 05401 (802) 657- 4220

FORM B: 1) AUTHORIZATION FOR RELEASE OF RECORDS AND INFORMATION AND 2) AUTHORIZATION TO COMMUNICATE WITH FUTURE EMPLOYERS REGARDING THE STATUS IF YOUR APPLICATION

| I, HEREBY AUTHORIZE YOU to furnish to   |
|---|
| (Name of Applicant)   |
| the Vermont Board of Medical Practice or its designated representative, all materials and information within your possession or control relating to me, of whatever kind and wherever located and including, but not limited to, my professional experience and qualifications, my licensing history, my practice as an anesthesiologist assistant, and any other material or information, including investigative files, which, in the sole discretion of the Vermont Board of Medical Practice, may be useful to said Board in its review of my certification status. |
| Only in regard to this specific authorization for disclosure to the Vermont Board of Medical Practice and for no other purpose, I expressly WAIVE confidentiality and any privileges or immunities accorded this information by State or Federal Law, and I hold you harmless from disclosure of same to the Vermont Board of Medical Practice.   |
| YOU ARE ALSO AUTHORIZED to report information, either orally or in writing, directly to the Vermont Board of Medical Practice or its designated representative on a continuing basis until this authorization is revoked, by me, in writing.  |
| A CONFORMED PHOTOSTATIC COPY OF THIS AUTHORIZATION SHALL SERVE IN ITS STEAD.  |
| 2) I further authorize the Vermont Board of Medical Practice to communicate with future employers and/or locum tenens companies regarding the status of my application for certification.   |
| Signature:  |
| Date:   |
| Print or Type Name:   |
| Address:  |
| City, State, Zip Code:  |
| Telephone Number: ()  |
| Subscribed and sworn to before me, thisday of   |
|   |
| Notary Public   |

RETURN ORIGINAL TO THE BOARD WITH YOUR APPLICATION SEND COPIES WITH THE REFERENCE FORMS

## CERTIFICATE OF ANESTHESIOLOGIST ASSISTANT EDUCATION

| I hereby certify that,(Name)                       | was admitted to the        |
|--|----------------------------|
|  | Anesthesiologist Assistant |
| Program in(City and State)                         | on(Date)                   |
| and completed all requirements for graduation on   | (Date)                     |
| Awas (Specify certificate/diploma/degree)          | granted on(Date)           |
| Is this program CAHEA or successor agency approved | ? Yes No                   |
|  | (AFFIX SEAL)               |
| Date:  | -                          |
| Signed:(Authorized Officer of the School)          | -                          |

TO PROGRAM: Return to above address

## APPLICATION BY PROPOSED PRIMARY SUPERVISING ANESTHESIOLOGIST

| Please print. Attach additiona                           | I sheets as needed.   |   |  |
|--|---|---|--|
| Name in full   |   |   |  |
| Name in full (Last)                                      | (I  | First)  | (Middle)   |
| Mailing Address  |   |   |  |
|  | (Office N   | lame)   |  |
|  | (Street)  |   |  |
| (City/State)   | (Zip Code)  | T)  | Celephone Number)  |
| Vermont Physician License #                              | :   |   |  |
| Hospital(s) where you have p                             | rivileges:  | Hospital(   | s) Location  |
| What arrangements have you  List the names and addresses |   |   |  |
|  |   |   |  |
| CERTIFICATE OF PRO                                       | )POSED PRIMARY SI   | UPERVISING  | ANESTHESIOLOGIST   |
| I hereby certify that, in accordance activities of       | , A.A. while ctice, attached to this applicate will be posted that an anest also affirm that I have read an | e under my supervi<br>ion, does not excee<br>hesiologist assistan<br>nd will abide by all | ision. I further certify that the<br>ed the normal limits of my<br>at is used, in accordance with 26 |
| I further certify that I have read the                   | statutes and Board rules gov  | erning anesthesiolo   | ogist assistants.  |
| (Date)   | , -   | ture of Proposed P<br>Anesthesiologist)   | rimary Supervising   |
|  | Co-signature of A.  | A. Applicant:   |  |
| Note: An AA who prescribes contr                         | olled drugs must obtain an II   | ) number from DE.   | Α.   |

## APPLICATION BY PROPOSED SECONDARY SUPERVISING ANESTHESIOLOGIST

| Please print. Incomplete appl                      | ications will be returne  | ed. Attach additional shee   | ets as needed.   |
|--|---|--|--|
| Name in full(Last)                                 |   |  |  |
| (Last)   |   | (First)  | (Middle)   |
| Mailing Address                                    |   |  |  |
|  | (Office   | Name)  |  |
|  | (Street)  | )  |  |
| (City/State)                                       | (Zip Code)  | (Telephor  | ne Number)   |
| Vermont License #:                                 |   |  |  |
| Hospital(s) where you have pr                      | rivileges:  | Hospital(s) Location   | Specialty  |
| List all the names and address                     | ses of anesthesiologist   | assistants you currently s   | upervise:  |
| CERTIFICATE OF PROP                                | OSED SECONDARY  | Y SUPERVISING ANES   | STHESIOLOGIST  |
| I hereby certify that, in accordance activities of | , A.A. whetice, attached to this applic<br>A, Chapter 29, Section 165 | tile I am supervising him/her.<br>sation, does not exceed the nor<br>7. I also affirm that I have read | I further certify that the mal limits of my practice d and will abide by all |
| I further certify that I have read the             | statutes and Board rules go   | overning anesthesiologist assis  | tants.   |
| (Date)   | ` •   | are of Proposed Secondary Superiologist)   | pervising  |

## **ANESTHESIOLOGIST ASSISTANT**

#### **VERIFICATION OF LICENSURE OR CERTIFICATION**

This section must be completed by the regulatory authority in the states in which **you now hold or have ever held** a license or certification to practice as a medical practitioner.

| 1,                           | , on behalf of the  |          |
|------------------------------|---|----------|
| State Board of (or other aut | thority) , certify that   |          |
|                              | was granted Certificate/License   | e Number |
| to practice as an            | in the State of   |          |
| on the                       | day of  | ,        |
|                              | cense has never been revoked, suspended censee has never been disciplined by this a |          |
| (AFFIX SEAL)(Au              | uthorized Representative)   | (Date)   |

#### LIST OF TWO REFERENCES

The Board rules require that references be from physicians with whom the applicant has worked recently, including one from the most recent primary supervisor. If the applicant has recently graduated from a Board-approved anesthesiologist assistant program, one must be from the Director of the program. Detach the attached Reference Forms and send to the individuals designated below ALONG WITH A COPY OF THE SIGNED FORM B RELEASE. Return this sheet to the Board with your application. Individuals completing the reference forms must return the forms directly to the Board.

| ames, addresses and telephone numbers of two references: |
|--|
| ) Reference #1 – Name of a Physician:                    |
| ddress:  |
| city, State, Zip Code:                                   |
| elephone: ()   |
| low long has this individual known you?                  |
| ) Reference #2 – Name of a Physician:                    |
| oddress:   |
| City, State, Zip Code:                                   |
| elephone: ()   |
| low long has this individual known you?                  |

| Name of applicant:  |                    |                 | <del></del>          |                             |  |
|---|--------------------|-----------------|----------------------|-----------------------------|--|
| The person named above practice as an anesthesion                             | ogist assistant    | in Vermont. Th  | ne applicant has lis | ted your name as one        |  |
| who has requisite knowled   |                    |                 |                      |                             |  |
| competence, ethical chara   |                    |                 |                      | rs. In this regard, please  |  |
| complete the following ref  | erence form. 11    | nank you for yo | our cooperation.     |                             |  |
| Please complete all parts   | of this form. If r | more room is n  | eeded, please atta   | ach additional information. |  |
| Name  |                    | was at          |                      |                             |  |
| from  |                    | to              | ·                    | During that time, he/she    |  |
| was (list status in the insti   | tution):           |                 |                      |                             |  |
| IMPORTANT NOTE: If yo elaborate on this aspect of                             |                    |                 |                      | r category, please          |  |
| The basic medical<br>knowledge to be<br>expected in a AA:                     | Poor               | Fair            | Average              | Above Average               |  |
| Professional judgement:   | Poor               | Fair            | Average              | Above Average               |  |
| Sense of responsibility:  | Poor               | Fair            | Average              | Above Average               |  |
| Moral character/ethical conduct:  | Poor               | Fair            | Average              | Above Average               |  |
| Competence and skills in the tasks delegated:                                 | Poor               | Fair            | Average              | Above Average               |  |
| Cooperativeness ability to work with others:                                  | Poor               | Fair            | Average              | Above Average               |  |
| Willingness to accept directions and limitations in role:                     | Poor               | Fair            | Average              | Above Average               |  |
| History & physical exam:  | Poor               | Fair            | Average              | Above Average               |  |
| Record keeping:   | Poor               | Fair            | Average              | Above Average               |  |
| AA-Patient relationship:  | Poor               | Fair            | Average              | Above Average               |  |
| Track record in adhering to scope of practice:                                | Poor               | Fair            | Average              | Above Average               |  |
| Ability to communicate in reading, writing and speaking the English language: | Poor               | Fair            | Average              | Above Average               |  |

## REFERENCE FORM TO BE COMPLETED BY PHYSICIAN WORKED WITH MOST RECENTLY PAGE TWO OF TWO

| Name of applicant:   |                                   |            |
|--|-----------------------------------|------------|
| To the best of your knowledge, does/did the applicant carry out the duties and responsibilities of the position at your institution in a satisfactory manner?  | Yes                               | No         |
| Do you know of any emotional disturbance, mental illness, organic illness, alcohol or drug problem, which might impair the applicant's ability to practice as a anesthesiologist assistant?  | Yes                               | No         |
| Do you know of any pending professional misconduct proceedings or medical malpractice claims?  | Yes                               | No         |
| Do you know if the applicant has been a defendant in any criminal proceeding other than minor traffic offenses?  | Yes                               | No         |
| Do you know of any suspension, restriction or termination of training or professional privileges for reasons related to mental or physical impairment, incompetence, misconduct or malpractice?  | Yes                               | No         |
| Do you know of any resignation or withdrawal from training or of professional privileges to avoid imposition of disciplinary measures?   | Yes                               | No         |
| Do you know of any confirmed quality concern (quality of hospital care provided to Medicare patients) by the Peer Review Organization (PRO) in Vermont or elsewhere?   | Yes                               | No         |
| Do you know of a failure of the applicant to complete a training program(s)?   | Yes                               | No         |
| In addition to the information provided on the previous page, please use the space reverse side for elaboration on the above and any additional information you have the Board in evaluating this applicant. Of particular value to us in evaluating any comments regarding his/her notable strengths and/or weaknesses. We would approximate the comments from you. Any additional information should be attached to this form. | e available to<br>applicant are   | aid        |
| The above report is based on:  |                                   |            |
| Close personal observation General impression A composite of previous evaluations Other – Specify:   |                                   |            |
| I further certify that at the time of completion of the above training, or during my a the anesthesiologist assistant, he/she was competent to practice as an anesthes and he/she was not the subject of any disciplinary action.  | association wi<br>iologist assist | th<br>tant |
| I recommend for certification in Vermont.  |                                   |            |
| Signed: Date:  |                                   |            |
| Print or Type Name and Title:  |                                   |            |

| Name of applicant: The person named above practice as an anesthesiol who has requisite knowled competence, ethical chara complete the following reference. | ogist assistant<br>lge through rec<br>acter, and ability | in Vermont. The<br>ent observation<br>to work coope | e applicant has list<br>n of the applicant's<br>tratively with others | ed your name as one current clinical |  |  |
|--|--|---|---|--------------------------------------|--|--|
| Please complete all parts  | of this form. If r                                       | nore room is ne                                     | eeded, please attac   | ch additional information.           |  |  |
| Name   |  | was at  |   |                                      |  |  |
| from   |  | to  | During that time, he/she  |                                      |  |  |
| was (list status in the insti  | tution):   |   |   |                                      |  |  |
| IMPORTANT NOTE: If yo elaborate on this aspect of  |  |   |   | category, please                     |  |  |
| The basic medical<br>knowledge to be<br>expected in a AA:  | Poor   | Fair  | Average   | Above Average                        |  |  |
| Professional judgement:  | Poor   | Fair  | Average   | Above Average                        |  |  |
| Sense of responsibility:   | Poor   | Fair  | Average   | Above Average                        |  |  |
| Moral character/ethical conduct:   | Poor   | Fair  | Average   | Above Average                        |  |  |
| Competence and skills in the tasks delegated:  | Poor   | Fair  | Average   | Above Average                        |  |  |
| Cooperativeness ability to work with others:   | Poor   | Fair  | Average   | Above Average                        |  |  |
| Willingness to accept directions and limitations in role:  | Poor   | Fair  | Average   | Above Average                        |  |  |
| History & physical exam:   | Poor   | Fair  | Average   | Above Average                        |  |  |
| Record keeping:  | Poor   | Fair  | Average   | Above Average                        |  |  |
| AA-Patient relationship:   | Poor   | Fair  | Average   | Above Average                        |  |  |
| Track record in adhering to scope of practice:   | Poor   | Fair  | Average   | Above Average                        |  |  |
| Ability to communicate in reading, writing and speaking the English language:  | Poor   | Fair  | Average   | Above Average                        |  |  |

# REFERENCE FORM TO BE COMPLETED BY PHYSICIAN WORKED WITH MOST RECENTLY PAGE TWO OF TWO

| Name of applicant:   |  |                                   |    |
|--|--|-----------------------------------|----|
| To the best of your knowledge, does/did the applic responsibilities of the position at your institution in   |  | Yes                               | No |
| Do you know of any emotional disturbance, mental drug problem, which might impair the applicant's a anesthesiologist assistant?  |  | Yes                               | Ńo |
| Do you know of any pending professional miscond malpractice claims?  | uct proceedings or medical   | Yes                               | No |
| Do you know if the applicant has been a defendant minor traffic offenses?  | t in any criminal proceeding other than  | Yes                               | No |
| Do you know of any suspension, restriction or term privileges for reasons related to mental or physical misconduct or malpractice?   |  | Yes                               | No |
| Do you know of any resignation or withdrawal from to avoid imposition of disciplinary measures?  | training or of professional privileges   | Yes                               | No |
| Do you know of any confirmed quality concern (qu<br>Medicare patients) by the Peer Review Organization   |  | Yes                               | No |
| Do you know of a failure of the applicant to comple  | ete a training program(s)?   | Yes                               | No |
| In addition to the information provided on the reverse side for elaboration on the above and the Board in evaluating this applicant. Of part comments regarding his/her notable strength comments from you. Any additional information | d any additional information you hav<br>icular value to us in evaluating any<br>s and/or weaknesses. We would ap | e available to a<br>applicant are |    |
| The above report is based on:  |  |                                   |    |
| Close personal observation General impression A composite of previous evaluations Other – Specify:   |  |                                   | _  |
| I further certify that at the time of completion<br>the anesthesiologist assistant, he/she was co<br>and he/she was not the subject of any disciple  | empetent to practice as an anesthes  |                                   |    |
| I recommend  | for certification in Vermont.  |                                   |    |
| Signed:  | Date:  |                                   |    |
| Print or Type Name and Title:  |  |                                   |    |